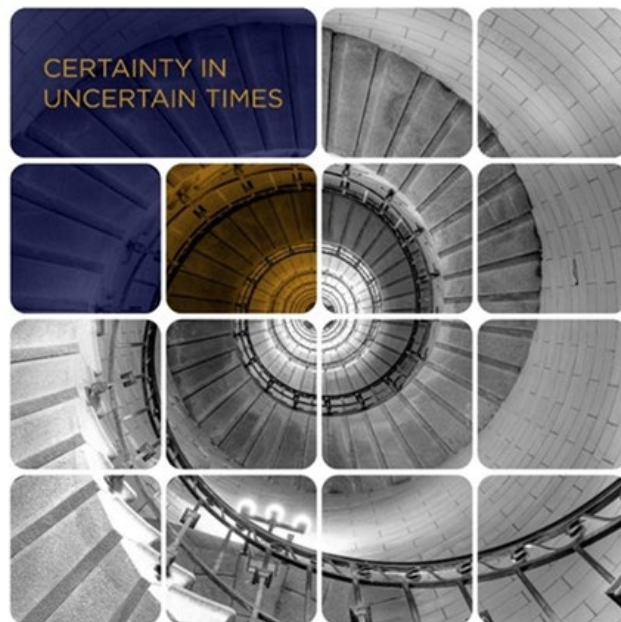


# MIANZO



## ASSET MANAGEMENT



## Mianzo – PRIVACY NOTICE

Signatory of:



An Authorised Financial Services Provider  
FSP 43114 - Reg No: 2009/021221/07  
Level-1 BBBEE Contributor

Directors: L. Tyandela (MD) | P.E. Joubert (Non-Exec) | N.O. Mbatha (Non-Exec)  
The Forum, EG01 Vesta House, Northbank Lane, Century City, 7441  
[www.mianzo.co.za](http://www.mianzo.co.za) | [info@mianzo.co.za](mailto:info@mianzo.co.za) | +27 21 552 3555

## 1. PRIVACY NOTICE – PROTECTION OF PERSONAL INFORMATION ACT (“POPIA”)

We understand that your personal information is important to you and that you may be apprehensive about disclosing it. Your privacy is just as important to us and we are committed to safeguarding and processing your information in a lawful manner.

We also want to make sure that you understand how and for what purpose we process your information. If for any reason you think that your information is not processed in a correct manner, or that your information is being used for a purpose other than that for what it was originally intended, you can contact our Information Officer.

You can request access to the information we hold about you at any time and if you think that we have outdated information, please request us to update or correct it.

## 2. Our details:

The Organization: MIANZO ASSET MANAGEMENT  
 Physical Address: UNIT EG01 VESTA HOUSE, THE FORUM, NORTH BANK LANE, CENTURY CITY, 7441  
 Phone: 021 552 3555  
 Organization E-mail address, [info@mianzo.co.za](mailto:info@mianzo.co.za)

The Organization is an authorized financial services provider with FSP number 43114. We render financial services in the following product categories:

Category Description	Advice Non-automated	Intermediary	Other
<b>CATEGORY I</b>			
Long-Term Insurance subcategory A	X		X
Long-Term Insurance subcategory B1	X		X
Long-term insurance subcategory B2	X		X
Long-term Insurance subcategory B2-A	X		X
Long-term Insurance subcategory B1-A	X		X
Structured Deposits	X		X
Participatory interest in a hedge fund	X		X
Long-Term Insurance subcategory C	X		X
Retail Pension Benefits	X		X
Pension Funds Benefits	X		X
Shares	X		X
Money market instruments	X		X
Debentures and securitised debt	X		X
Warrants, certificates and other instruments	X		X
Bonds	X		X
Derivative instruments	X		X
Participatory interests in a collective investment scheme	X		X
<b>CATEGORY II - Discretionary FSP</b>			
Long-term Insurance subcategory B1			X
Structured Deposits			X
Participatory interest in a hedge fund			X
Long-term Insurance subcategory C			X
Retail Pension Benefits			X
Pension Funds Benefits			X

Shares		X
Money market instruments		X
Debentures and securitised debt		X
Warrants, certificates and other instruments		X
Bonds		X
Derivative instruments		X
Participatory interests in one or more collective investment schemes		X
Long-term insurance subcategory B2		X

### 3. The source of collection of your personal information:

We collect personal information directly from the following data subjects:

- Prospective clients who enquire about our financial services
- Clients who have appointed the representative as their broker
- New clients who invest in our Funds

Personal information is collected directly from you through the completion of investment management agreements and application forms. These forms are completed either electronically or in hard copy. You may also be requested to provide your personal information during your consultation with a representative.

We may also collect information about you from other sources such as external third parties and from cookies on our website.

### 4. Law authorising or requiring collecting of the personal information:

As an authorised financial services provider, we are obligated in terms of the following legislation to collect your personal information insofar as it relates to the rendering of the relevant financial services to you:

- Financial Advisory and Intermediaries Services Act 37 of 2002;
- Financial Intelligence Centre Act 38 of 2001;
- Insurance Act 18 of 2017;
- Short-Term Insurance Act 53 of 1998;
- Long-Term Insurance Act 52 of 1998;

### 5. Purpose for Processing your Information:

We collect, hold, use and disclose your personal information mainly to provide you with access to the services and products that we provide. We will only process your information for a purpose you would reasonably expect, including:

- Complying with the obligations contained in the contract concluded between yourself and the FSP
- Providing you with advice, products and services that suit your needs as requested
- To verify your identity and to conduct credit reference searches
- To issue, administer and manage your insurance policies
- To confirm, verify and update your details
- To comply with any legal and regulatory requirements

Some of your information that we hold may include, your first and last name, email address, a home, postal or other

physical address, other contact information, your title, birth date, gender, occupation, qualifications, past employment, residency status, your investments, assets, liabilities, insurance, income, expenditure, family history, medical information and your banking details.

Some of the aforementioned personal information may be mandatory to provide.

Failing to provide compulsory information may lead to our organisation's inability to carry out the functions necessary to perform as an authorised financial services provider.

## 6. Third parties and your personal information

We may need to share your information to third parties provide advice, reports, analyses, products or services that you have requested. Where we share your information, we will take all precautions to ensure that the third party will treat your information with the same level of protection as required by us.

These third parties may include:

- The Compliance Officer of the organisation
- Information Technology specialists assisting us with data storage, security, processing, analytics, etc;
- Auditors of the Organisation;
- Regulatory or governmental authorities such as the Financial Sector Conduct Authority and the Prudential Authority;

## 7. Complaints and objections

As a data subject, you have the right to –

- Request that we confirm, free of charge, whether or not we hold personal information about you;
- Request that we provide you with a description of the personal information we hold about you, and to explain why and how it is being processed (please complete Annexure A);
- Request that we consider your objections to the processing of your personal information (please complete Annexure B);
- Lodge a complaint with the Information Regulator (please complete Annexure B).

## 8. The Information Regulator

In the event that your personal information has not been processed in accordance with the POPI Act and the principles set out above, you have the right to lodge a complaint with the Information Regulator.

For further information regarding the complaints process, please visit the website of the Information Regulator, as indicated below.

Alternatively, you may contact the Information Regulator for further assistance:

The Information Regulator: Adv Pansy Tlakula  
Physical Address: JD House, 27 Stiemens Street, Braamfontein, Johannesburg, 2001  
Email: [complaints.IR@justice.gov.za](mailto:complaints.IR@justice.gov.za)  
Website: <https://www.justice.gov.za/inforeg/index.html>

**POPI COMPLAINT FORM**

We are committed to safeguarding your privacy and the confidentiality of your personal information and are bound by the Protection of Personal Information Act.

Please submit your complaint to the Information Officer:	
Name	
Contact Number	
Email Address:	

Where we are unable to resolve your complaint, to your satisfaction you have the right to complaint to the Information Regulator.

**The Information Regulator:** Adv Pansy Tlakula  
**Physical Address:** JD House, 27 Stiemens Street, Braamfontein, Johannesburg, 2001  
**Email:** complaints.IR@justice.gov.za  
**Website:** <https://www.justice.gov.za/infoereg/index.html>

A. Particulars of Complainant	
Name & Surname	
Identity Number:	
Postal Address:	
Contact Number:	
Email Address:	

B. Details of Complaint	

C. Desired Outcome	

D. Signature Page	
Signature:	
Date	

**POPI COMPLAINT FORM**

We are committed to safeguarding your privacy and the confidentiality of your personal information and are bound by the Protection of Personal Information Act.

Please submit your complaint to the Information Officer:	
Name	
Contact Number	
Email Address:	

Where we are unable to resolve your complaint, to your satisfaction you have the right to complain to the Information Regulator.

**The Information Regulator:** Adv Pansy Tlakula  
**Physical Address:** JD House, 27 Stiemens Street, Braamfontein, Johannesburg, 2001  
**Email:** complaints.IR@justice.gov.za  
**Website:** <https://www.justice.gov.za/infoereg/index.html>

A. Particulars of Complainant	
Name & Surname	
Identity Number:	
Postal Address:	
Contact Number:	
Email Address:	
B. Details of Complaint	
C. Desired Outcome	
D. Signature Page	
Signature:	
Date	

**ANNEXURE A:**